PATEN'

RECEIVED

MAR 1 8 2002

Technology Center 2100

IN THE UNITED STATES PATENT AND TRADEMARK-OFFICE

hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on March 8, 2002.

Applicant

Craig L. Ogg

Application No. Filed

09/690,796

October 17, 2000

Title

SECURE AND RECOVERABLE DATABASE FOR

ON-LINE VALUE-BEARING ITEM SYSTEM

Grp./Div.

2161

Examiner Docket No.

To be assigned

39477/RRT/S850

INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

Assistant Commissioner for Patents Washington, D.C. 20231

Post Office Box 7068 Pasadena, CA 91109-7068 March 8, 2002

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO/SB/08A/B listing the references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b). To the best of undersigned's knowledge, this Statement is being filed before the mailing date of a first Office Action on the merits.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO/SB/08A/B be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609. Any deficiency of fees for this transaction should be charged or credited to Deposit Account No. 03-1728. Please show our docket number with any Deposit Account transaction.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By

Raymond R. Tabandeh Reg. No. 43,945 626/795-9900

RRT/cam

3

Enclosures: PTO/SB/08A/B, w/references (6)

CAM PAS419891.1-*-3/8/02 9:17 AM

MAR 1 2 2002 5

FORM PTO/SB/08A/B (10-01) Substitute for PTO-1449A/B

INFORMATION DISCLOSURE

STATEMENT BY APPLICANT

(use as many sheets as necessary)

Attorney Docket Number	39477/RRT/S850
Application Number	09/690,796
Filing Date	October 17, 2000
Applicant(s)	Craig L. Ogg
Group Art Unit	2161
Examiner Name	To be assigned MAR 1 8 2002
	Fechnology Center 2100

U.S. PATENT DOCUMENTS				
EXAMINER INITIALS	Cite No.1	DOCUMENT NUMBER Number - kind code ² - (If known)	PUBLICATION DATE MM-DD-YYYY	NAME OF PATENTEE
		US 4,831,555 A	05-16-1989	Sansone et al.
		US 4,900,903 A	02-13-1990	Wright et al.
		US 4,900,904 A	02-13-1990	Wright et al.
		US 4,908,770 A	03-13-1990	Breault et al.
 -		US 5,111,030 A	05-05-1992	Brasington et al.
		US 5,454,038 A	09-26-1995	Cordery et al.

		FOREIG	N PATENT DOC	UMENTS	
EXAMINER INITIALS	Cite No.1	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (If known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Τ ⁶ (⁄)

OTHER DOCUMENTS			
EXAMINER INITIALS	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article, title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	

EXAMINER	DATE	
SIGNATURE	CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.pto.gov or MPEP 901.4. ³Enter Office that issued the document, by the two-letter code (WIPO standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

RRT/cam

CAM PAS419895.1-*-3/8/02 9:05 AM